

FORM PTO-1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DATE: <div style="text-align: center; font-weight: bold;">17 May 2006</div>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC § 371				ATTORNEY DOCKET NUMBER <div style="text-align: center; font-weight: bold;">59127US007</div>	
				U.S. APPLICATION NO. (if known, see 37 CFR § 1.5)	
INTERNATIONAL APPLICATION NO. <div style="text-align: center; font-weight: bold;">PCT/US2004/034662</div>		INTERNATIONAL FILING DATE <div style="text-align: center; font-weight: bold;">20 October 2004</div>		PRIORITY DATE CLAIMED <div style="text-align: center; font-weight: bold;">27 November 2003</div>	
TITLE OF INVENTION <div style="font-weight: bold;">PRODUCTION METHOD OF SEMICONDUCTOR CHIP</div>					
APPLICANT(S) FOR DO/EO/US <div style="font-weight: bold;">Kazuki Noda and Masaru Iwasawa</div>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC § 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC § 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC § 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC § 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 USC § 154(d)(4) 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording is being filed. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR § 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §§ 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 USC § 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC § 154(d)(4). 20. <input type="checkbox"/> Other items or information: 					

☒ Customer Number for
Correspondence Address:

32692

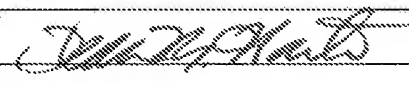
U.S. APPLICATION NO. (if known, see 37 CFR § 1.5)		INTERNATIONAL APPLICATION NO. PCT/US2004/034662		ATTORNEY'S DOCKET NO. 59127US007	
The following fees will be paid via EFS-Web at the time of filing:				Calculations	PTO Use Only
21.	<input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))		\$300	\$ 300.00	
22.	<input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200			\$ 200.00	
23.	<input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500			\$ 400.00	
TOTAL OF 21, 22, and 23				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
FEE CALCULATION	NUMBER FILED	(Minus 25%) EFS Filing	Minus 100	Calc. Extra	RATE (for ea. add'l 50)
Application Size Fee		multiplied by .75	delete 100	divide by 50 (round up to nearest 50)	\$ 250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e))				\$ 0.00	
CLAIMS		NUMBER FILED		EXTRA	RATE
Total claims		20		- 20 = 0	x \$ 50.00
Independent claims		1		- 3 = 0	x \$200.00
Add'l fee for one or more multiple dependent claims				\$360.00	\$ 0.00
TOTAL AMOUNT DUE				\$ 900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27 The fees indicated above are reduced by 1/2				\$ 0.00	
SUBTOTAL				\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR § 1.492(f))				\$ 0.00	
TOTAL NATIONAL FEE				\$ 900.00	
Fee for recording the enclosed assignment (37 CFR § 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§ 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED				\$ 900.00	
				Amount to be refunded	\$
				Amount to be charged	\$900.00

a. ☒ Fees will be paid via EFS-Web at the time of filing.

b. ☐ Please charge my Deposit Account No. 13-3723 in the amount of \$_____ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3723

NOTE: Where an appropriate time limit under 37 CFR § 1.495 has not been met, a petition to revive (37 CFR § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Signature: 

Name: **Dean M. Harts** Registration Number: **47,634**

Address: **Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427**